

Compliance Readiness Checklist

Build confidence. Close gaps. Stay audit-ready.

Use this checklist to evaluate whether your organization has the foundational security controls and processes needed to meet regulatory requirements. This isn't about certification — it's about identifying gaps and strengthening your compliance posture across the frameworks that matter to your business.

1. Identify Your Compliance Obligations

- ☐ Have you identified which compliance frameworks apply to your business based on industry, geography, and data types?
- ☐ Do you know which frameworks are mandatory vs. recommended for your industry?
- ☐ Have you mapped your compliance requirements to specific business units and data flows?

2. Implement Technical Security Controls

- ☐ Are you using EDR or MDR for 24/7 threat monitoring?
- ☐ Is Email Security in place to prevent phishing, malware, and data loss?
- ☐ Are backups encrypted, tested regularly, and protected from ransomware?
- ☐ Is Multi-Factor Authentication (MFA) enforced for all administrative and sensitive system access?
- ☐ Do you have a Vulnerability Assessment and Patch Management program in place?

3. Document Policies and Procedures

- ☐ Do you have documented policies for data access, retention, and acceptable use?
- ☐ Is there a formal Incident Response Plan with defined roles and escalation procedures?
- ☐ Are security roles and responsibilities clearly assigned across the organization?
- ☐ Have employees completed security awareness training within the last 12 months?

4. Enable Audit Readiness and Reporting

- ☐ Are security events and access logs retained and reviewable for compliance audits?
- ☐ Can you generate reports showing security posture, incidents, and remediation efforts?
- ☐ Do you have a process for breach notification that meets regulatory timelines?
- ☐ Are vulnerability assessments and risk reviews conducted regularly?

5. Take Action on Compliance Gaps

- ☐ Conduct a compliance gap assessment to understand where you stand today.
- ☐ Prioritize controls based on regulatory requirements and business risk.
- ☐ Leverage security vendors and tools that align with compliance frameworks.
- ☐ Schedule regular reviews to ensure ongoing alignment with evolving regulations.

ThreatDown helps businesses strengthen security and support compliance readiness.

Learn how